

<i>SERFF Tracking Number:</i>	<i>NYLC-128394740</i>	<i>State:</i>	<i>Arkansas</i>
<i>Filing Company:</i>	<i>New York Life Insurance and Annuity Corporation</i>	<i>State Tracking Number:</i>	
<i>Company Tracking Number:</i>	<i>22695-512</i>		
<i>TOI:</i>	<i>L06G Group Life - Variable</i>	<i>Sub-TOI:</i>	<i>L06G.002 Single Life - Flexible Premium</i>
<i>Product Name:</i>	<i>CorpExec PPVUL Premium Allocation 5-12</i>		
<i>Project Name/Number:</i>	<i>CorpExec PPVUL Premium Allocation 5-12/22695-512</i>		

Filing at a Glance

Company: New York Life Insurance and Annuity Corporation

Product Name: CorpExec PPVUL Premium SERFF Tr Num: NYLC-128394740 State: Arkansas
Allocation 5-12

TOI: L06G Group Life - Variable SERFF Status: Closed-Approved- State Tr Num:
Closed

Sub-TOI: L06G.002 Single Life - Flexible Co Tr Num: 22695-512 State Status: Approved-Closed
Premium

Filing Type: Form Reviewer(s): Linda Bird
Authors: Linda Lopinto, Robert Williams III, Ariana Castillo, Wanda Santos-Colletti, Mary Williams, Barbara Micek
Date Submitted: 06/08/2012 Disposition Date: 06/14/2012
Disposition Status: Approved-Closed

Implementation Date Requested: 07/01/2012 Implementation Date:
State Filing Description:

General Information

Project Name: CorpExec PPVUL Premium Allocation 5-12
Project Number: 22695-512
Requested Filing Mode: Review & Approval
Explanation for Combination/Other:
Submission Type:
Group Market Type: Discretionary
Filing Status Changed: 06/14/2012
State Status Changed: 06/14/2012
Created By: Robert Williams III
Corresponding Filing Tracking Number:
Filing Description:
Re: New York Life Insurance and Annuity Corporation
Individual Life Insurance
NAIC #: 82691596

Status of Filing in Domicile:
Date Approved in Domicile:
Domicile Status Comments:
Market Type: Group
Group Market Size: Small
Overall Rate Impact:

Deemer Date:
Submitted By: Robert Williams III

SERFF Tracking Number: NYLC-128394740 State: Arkansas
Filing Company: New York Life Insurance and Annuity Corporation
State Tracking Number:
Company Tracking Number: 22695-512
TOI: L06G Group Life - Variable Sub-TOI: L06G.002 Single Life - Flexible Premium
Product Name: CorpExec PPVUL Premium Allocation 5-12
Project Name/Number: CorpExec PPVUL Premium Allocation 5-12/22695-512

FEIN #: 13-3044743

Revised Premium Allocation Form 22695-512

Dear Commissioner:

We are enclosing for your Department approval, a revised Premium Allocation form 22695-512. This form is identical to the previously approved version 22695, which was approved by your Department on 10/23/2006 and SERFF# USPH-6UQJWM489.

This supplementary application form is being revised, because we have changed the fund classification company from Lipper Analytics to Morningstar Inc. In addition, funds have been added, deleted, renamed and re-classified and we have updated the edition date. In light of these changes, we have revised the form number to 22695-512.

I hope that this information is satisfactory and that we can receive your Department's approval of this submission as soon as possible.

If you have any further questions or comments in this regard, please feel free to contact Diana Moody at 1-888-695-4748 ext. 2664064 or via email at dmoody@nyl.com.

Sincerely,
Linda E. LoPinto
Corporate Vice President
State Narrative:

Company and Contact

Filing Contact Information

Robert Williams III, Contract Consultant	Robert_Williams_III@nyl.com
51 Madison Avenue	212-576-3449 [Phone]
Room 0154	212-447-4141 [FAX]
New York, NY 10010	

Filing Company Information

New York Life Insurance and Annuity Corporation	CoCode: 91596	State of Domicile: Delaware
51 Madison Ave	Group Code: 826	Company Type: Life
New York, NY 10010	Group Name: NYLIC	State ID Number:
(212) 576-4809 ext. [Phone]	FEIN Number: 13-3044743	

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Corporation
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Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? Yes
Fee Explanation:
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
New York Life Insurance and Annuity Corporation	\$50.00	06/08/2012	59921403

SERFF Tracking Number: NYLC-128394740 State: Arkansas
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Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved-Closed	Linda Bird	06/14/2012	06/14/2012

<i>SERFF Tracking Number:</i>	<i>NYLC-128394740</i>	<i>State:</i>	<i>Arkansas</i>
<i>Filing Company:</i>	<i>New York Life Insurance and Annuity Corporation</i>	<i>State Tracking Number:</i>	
<i>Company Tracking Number:</i>	<i>22695-512</i>		
<i>TOI:</i>	<i>L06G Group Life - Variable</i>	<i>Sub-TOI:</i>	<i>L06G.002 Single Life - Flexible Premium</i>
<i>Product Name:</i>	<i>CorpExec PPVUL Premium Allocation 5-12</i>		
<i>Project Name/Number:</i>	<i>CorpExec PPVUL Premium Allocation 5-12/22695-512</i>		

Disposition

Disposition Date: 06/14/2012

Implementation Date:

Status: Approved-Closed

Comment:

Rate data does NOT apply to filing.

<i>SERFF Tracking Number:</i>	<i>NYLC-128394740</i>	<i>State:</i>	<i>Arkansas</i>
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Schedule	Schedule Item	Schedule Item Status	Public Access
Supporting Document	Flesch Certification		No
Supporting Document	Application		No
Supporting Document	Life & Annuity - Acturial Memo		No
Supporting Document	Statement of Variability		Yes
Form	Premium Allocation		Yes

SERFF Tracking Number: NYLC-128394740 State: Arkansas

Filing Company: New York Life Insurance and Annuity Corporation State Tracking Number:

Company Tracking Number: 22695-512

TOI: L06G Group Life - Variable Sub-TOI: L06G.002 Single Life - Flexible Premium

Product Name: CorpExec PPVUL Premium Allocation 5-12

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Form Schedule

Lead Form Number: 22695-512

Schedule Item Status	Form Number	Form Type Form Name	Action	Action Specific Data	Readability	Attachment
	22695-512	Application/Premium Allocation Enrollment Form	Revised	Replaced Form #: 22695 Previous Filing #:	0.000	22695-512 (5-12) Bracket.pdf

New York Life Insurance and Annuity Corporation
51 Madison Avenue, New York, NY 10010
Supplementary Individual Life Insurance Application

**PREMIUM ALLOCATION FORM FOR
NYLIAC CORPEXEC PRIVATE PLACEMENT
VARIABLE UNIVERSAL LIFE**

PLEASE READ CAREFULLY: Variable universal life insurance Investment Divisions involve substantial risk, including the potential to lose some or all of your original investment. Benefits or values are not guaranteed as to dollar amount and are based on the investment performance of the Investment Division(s) selected.

The investment options are categorized as Registered Investment Divisions or Exempt Investment Divisions. The funds comprising the Registered Investment Divisions are registered with the Securities and Exchange Commission (SEC) and are grouped by risk. Risk classifications are determined on the basis of the Morningstar Inc. (Morningstar) Category assigned by Morningstar as of [December 30, 2011]. Morningstar is a widely used independent research firm, which ranks mutual funds and other investment companies by overall performance, investment objectives and assets. Classifications are not a guarantee or prediction of results. The funds comprising the Exempt Investment Divisions are not registered with the SEC and are not grouped by risk because Morningstar does not rank those funds.

You must select either the MainStay VP Cash Management Portfolio or Fidelity® VIP Money Market Portfolio as your Cash Reserve Account for allocation of premiums during the Right to Examine Period and for holding Monthly Deduction Charges.

During the Right to Examine Period, Net Premiums are allocated to the Cash Reserve Account that you select. Net Premiums will be allocated to the Investment Division(s) you have selected after the Right to Examine period has expired.

In addition, Monthly Deduction Charges (deducted from your initial premium and subsequently, from the Investment Divisions under your policy) will be taken from the Cash Reserve Account that you select. (See page 6 for more information about the deduction of these charges.)

Once selected, the Cash Reserve Account cannot be changed for purposes of the Right to Examine provision or Monthly Deduction Charges.

PLEASE SELECT ONE PORTFOLIO AS YOUR CASH RESERVE ACCOUNT FROM THE FOLLOWING REGISTERED INVESTMENT DIVISIONS:

☐ MainStay VP Cash Management Portfolio (CM)

☐ Fidelity® VIP Money Market Portfolio (M9)

A general description of the investment objective for each Investment Division appears after its name. There is no assurance that any Investment Division will achieve its objective. Please indicate how you want your premiums allocated among the Investment Divisions. Please indicate your allocation percentages in whole numbers and, if needed, up to two decimal places (e.g. 25.47%). **The total allocation must equal 100%.**

Registered Investment Divisions and the Fixed Account Are Available to 3(c)(1) and 3(c)(7) Investors

GUARANTEED INTEREST ACCOUNT

Fixed Account

Current income with safety of principal %

LOW RISK

MainStay VP Cash Management Portfolio (CM)

Seeks a high level of current income while preserving capital and maintaining liquidity %

Fidelity® VIP Money Market Portfolio – Initial Class (M9)

Seeks as high a level of current income as is consistent with preservation of capital and liquidity %

PIMCO Low Duration Portfolio – Administrative Class Shares (PL)

Seeks maximum total return, consistent with preservation of capital and prudent investment management %

T. Rowe Price Limited-Term Bond Portfolio (TB)

Seeks a high level of income consistent with moderate fluctuations in principal value %

MODERATE RISK

MainStay VP Bond Portfolio – Initial Class (BD)

Seeks total return %

MainStay VP Convertible Portfolio - Initial Class (CO)

Pursues capital appreciation together with current income %

MainStay VP Floating Rate Portfolio – Initial Class (FL)

Seeks high current income %

MainStay VP High Yield Corporate Bond Portfolio – Initial Class (HY)

Seeks maximum current income through investment in a diversified portfolio of high yield debt securities. Capital appreciation is a secondary objective %

MainStay VP Janus Balanced Portfolio – Initial Class (NC)

Seeks long-term capital growth, consistent with preservation of capital and balanced current income %

MainStay VP MFS® Utilities Portfolio – Initial Class (ND)

Seeks total return %

American Century VP Inflation Protection – Class II (AJ)

Pursues long-term total return using a strategy that seeks to protect against U.S. inflation %

American Funds Asset Allocation Fund – Class 2 Shares (AO)

Seeks high total return (including income and capital gains) consistent with preservation of capital over the long-term %

Fidelity® VIP Freedom 2010 Portfolio – Initial Class (F1)

Seeks high total return with a secondary objective of principal preservation as the Fund approaches its target date and beyond ... %

Fidelity® VIP Freedom 2020 Portfolio – Initial Class (F2)

Seeks high total return with a secondary objective of principal preservation as the Fund approaches its target date and beyond ... %

Fidelity® VIP Freedom 2030 Portfolio – Initial Class (F3)

Seeks high total return with a secondary objective of principal preservation as the Fund approaches its target date and beyond ... %

Fidelity® VIP Freedom 2040 Portfolio - Initial Class (F4)

Seeks high total return with a secondary objective of principal preservation as the Fund approaches its target date and beyond ... %

Fidelity® VIP Investment Grade Bond Portfolio – Initial Class (FB)

Seeks as high a level of current income as is consistent with the preservation of capital %

LVIP SSgA Bond Index Fund – Standard Class (LT)

Seeks to match as closely as practicable, before fees and expenses, the performance of the Barclays Capital U.S. Aggregate Index %

Legg Mason Western Asset Variable High Income Portfolio – Single Share Class (L0)

Seeks to provide high current income as its primary objective and capital appreciation as its secondary objective. %

MFS® Global Tactical Allocation Portfolio - Initial Class (M2)

Seeks total return %

MFS® Total Return Series – Initial Class (M0)

Seeks total return %

PIMCO Global Bond Portfolio (Unhedged) – Administrative Class Shares (PG)

Seeks maximum total return, consistent with preservation of capital and prudent investment management %

PIMCO High Yield Portfolio – Administrative Class Shares (PI)

Seeks maximum total return, consistent with preservation of capital and prudent investment management %

PIMCO Long-Term U.S. Government Portfolio – Administrative Class Shares (WU)

Seeks maximum total return, consistent with preservation of capital and prudent investment management %

PIMCO Real Return Portfolio – Administrative Class Shares (RR)

Seeks maximum real return, consistent with preservation of real capital and prudent investment management %

PIMCO Total Return Portfolio – Administrative Class Shares (PT)

Seeks maximum total return, consistent with preservation of capital and prudent investment management %

T. Rowe Price Personal Strategy Balanced Portfolio (TP)

Seeks the highest total return over time consistent with an emphasis on both capital appreciation and income %

Registered Investment Divisions and the Fixed Account Available to 3(c)(1) and 3(c)(7) Investors (continued)

TOPS™ Balanced ETF Portfolio - Class 2 Shares (U2)	
Seeks income and capital appreciation	_____ %
TOPS™ Capital Preservation ETF Portfolio - Class 2 Shares (U1)	
Seeks to preserve capital and provide moderate income and moderate capital appreciation	_____ %
TOPS™ Moderate Growth ETF Portfolio - Class 2 Shares (U3)	
Seeks capital appreciation	_____ %
TOPS™ Protected Balanced ETF Portfolio - Class 2 Shares (U6)	
Seeks to provide income and capital appreciation with less volatility than the fixed income and equity markets as a whole	_____ %
TOPS™ Protected Growth ETF Portfolio - Class 2 Shares (U8)	
Seeks capital appreciation with less volatility than the equity markets as a whole	_____ %
TOPS™ Protected Moderate Growth ETF Portfolio - Class 2 Shares (U7)	
Seeks capital appreciation with less volatility than the equity markets as a whole	_____ %
UIF Emerging Markets Debt Portfolio – Class I Shares (MD)	
Seeks high total return by investing primarily in fixed income securities of government and government-related issuers and, to a lesser extent, of corporate issuers in emerging market countries	_____ %
Van Eck VIP Global Bond Fund – Initial Class (V1)	
Seeks high total return-income plus capital appreciation by investing globally, primarily in a variety of debt securities	_____ %
Van Eck VIP Multi-Manager Alternatives Fund – Initial Class (VW)	
Seeks absolute (positive) returns in various market cycles	_____ %

HIGH RISK

MainStay VP Eagle Small Cap Growth Portfolio – Initial Class (NK)	
Seeks long-term capital appreciation	_____ %
MainStay VP ICAP Select Equity Portfolio – Initial Class (LV)	
Seeks total return	_____ %
MainStay VP International Equity Portfolio – Initial Class (IN)	
Seeks long-term growth of capital	_____ %
MainStay VP Large Cap Growth Portfolio – Initial Class (EG)	
Seeks long-term growth of capital	_____ %
MainStay VP Mid Cap Core Portfolio – Initial Class (MC)	
Seeks long-term growth of capital	_____ %
MainStay VP T. Rowe Price Equity Income Portfolio – Initial Class (NE)	
Seeks substantial dividend income as well as long-term growth of capital through investments in the common stocks of established companies	_____ %
MainStay VP U.S. Small Cap Portfolio - Initial Class (DG)	
Seeks long-term capital appreciation by investing primarily in securities of small-cap companies	_____ %
Alger SMidCap Growth Portfolio – Class I-2 Shares (K1)	
Seeks long-term capital appreciation by focusing on smallcap or midcap companies that the Manager believes demonstrate promising growth potential	_____ %
AllianceBernstein VPS Small/Mid Cap Value Portfolio – Class A Shares (A9)	
Seeks long-term growth of capital	_____ %
American Century VP Value Fund – Class II Shares (AV)	
Seeks long-term capital growth. Income is a secondary objective	_____ %
American Funds Global Growth Fund - Class 1 Shares (GG)	
Seeks long-term growth of capital	_____ %
American Funds Global Small Capitalization Fund – Class 2 Shares (AK)	
Seeks long-term growth of capital	_____ %
American Funds Growth Fund – Class 2 Shares (AW)	
Seeks growth of capital	_____ %
American Funds Growth-Income Fund – Class 2 Shares (AN)	
Seeks long-term growth of capital and income	_____ %
American Funds International Fund – Class 2 Shares (AT)	
Seeks long-term growth of capital	_____ %
Davis Value Portfolio (VV)	
Seeks long-term growth of capital	_____ %
Delaware VIP® Small Cap Value Series – Standard Class (D7)	
Seeks capital appreciation	_____ %
Dreyfus IP Technology Growth Portfolio – Initial Shares (DT)	
Seeks capital appreciation	_____ %
DWS Dreman Small Mid Cap Value VIP – Class A Shares (D4)	
Seeks long-term capital appreciation	_____ %

Registered Investment Divisions and the Fixed Account Available to 3(c)(1) and 3(c)(7) Investors (continued)

DWS Global Small Cap Growth VIP – Class A Shares (D5)	
Seeks above-average capital appreciation over the long-term	_____ %
DWS Small Cap Index VIP – Class A Shares (SS)	
Seeks to replicate, as closely as possible, before the deduction of expenses, the performance of the Russell 2000® Index, which emphasizes stocks of small U.S. companies	_____ %
Fidelity® VIP Contrafund® Portfolio – Initial Class (FC)	
Seeks long-term capital appreciation	_____ %
Fidelity® VIP Equity-Income Portfolio – Initial Class (FE)	
Seeks reasonable income. The Fund will also consider the potential for capital appreciation. The Fund's goal is to achieve a yield which exceeds the composite yield on the securities comprising the S&P 500® Index	_____ %
Fidelity® VIP Growth Portfolio – Initial Class (FG)	
Seeks to achieve capital appreciation	_____ %
Fidelity® VIP Index 500 Portfolio – Initial Class (FI)	
Seeks investment results that correspond to the total return of common stocks publicly traded in the United States, as represented by the S&P 500® Index.	_____ %
Fidelity® VIP Mid Cap Portfolio – Initial Class (FM)	
Seeks long-term growth of capital	_____ %
Fidelity® VIP Overseas Portfolio – Initial Class (FO)	
Seeks long-term growth of capital	_____ %
Fidelity® VIP Value Leaders Portfolio – Initial Class (F8)	
Seeks capital appreciation	_____ %
Fidelity® VIP Value Strategies Portfolio – Service Class 2 (FS)	
Seeks capital appreciation	_____ %
ING Russell Mid Cap Index Portfolio – I (I9)	
Seeks investment results (before fees and expenses) that correspond to the total return (which includes capital appreciation and income) of the Russell Midcap® Index	_____ %
Invesco V.I. Global Real Estate Fund – Series I Shares (AH)	
Seeks total return through growth of capital and current income	_____ %
Invesco V.I. International Growth Fund – Series I Shares (WS)	
Seeks to provide long-term growth of capital	_____ %
Invesco V.I. Mid Cap Core Equity Fund - Series I Shares (CC)	
Seeks long-term growth of capital.	_____ %
Invesco Van Kampen V.I. Mid Cap Value Portfolio – Series I Shares (MV)	
The fund's investment objective is to provide above-average total return over a market cycle of three to five years by investing in common stocks and other equity securities	_____ %
Janus Aspen Enterprise Portfolio – Institutional Shares (JA)	
Seeks long-term growth of capital	_____ %
Janus Aspen Forty Portfolio – Institutional Shares (JC)	
Seeks long-term growth of capital	_____ %
Janus Aspen Worldwide Portfolio – Institutional Shares (JW)	
Seeks long-term growth of capital in a manner consistent with the preservation of capital	_____ %
Lazard Retirement International Equity Portfolio – Service Shares (LI)	
Seeks long-term capital appreciation	_____ %
Lord Abbett Series – Mid Cap Stock Portfolio (LM)	
Seeks capital appreciation through investments, primarily in equity securities, which are believed to be undervalued in the marketplace	_____ %
LVIP Baron Growth Opportunities Fund – Service Class (BR)	
Seeks capital appreciation through long-term investments in securities of small and mid-sized companies with undervalued assets or favorable growth prospects	_____ %
LVIP SSgA Developed International 150 Fund – Standard Class (LX)	
Seeks to maximize long-term capital appreciation	_____ %
LVIP SSgA International Index Fund - Standard Class (LS)	
Seeks to approximate as closely as practicable, before fees and expenses, the performance of a broad market index of non-U.S. foreign securities	_____ %
MFS® International Value Portfolio – Initial Class (IV)	
Seeks capital appreciation	_____ %
MFS® Value Series – Initial Class (GD)	
Seeks capital appreciation	_____ %
Neuberger Berman AMT Large Cap Value Portfolio – Class I Shares (NB)	
Seeks growth of capital	_____ %
Oppenheimer Capital Appreciation Fund/VA – Non-Service Shares (PU)	
Seeks capital appreciation by investing in securities of well known, established companies	_____ %

Registered Investment Divisions and the Fixed Account Available to 3(c)(1) and 3(c)(7) Investors (continued)

Royce Micro-Cap Portfolio – Investment Class (RC)

Seeks long-term growth of capital %

T. Rowe Price Blue Chip Growth Portfolio (BC)

Seeks to provide long-term capital growth. Income is a secondary objective %

T. Rowe Price Equity Index 500 Portfolio (IF)

Seeks to match the performance of the Standard & Poor's 500 Stock Index® %

T. Rowe Price International Stock Portfolio (TS)

Seeks long-term growth of capital through investments primarily in the common stocks of established, non-U.S. companies %

T. Rowe Price New America Growth Portfolio (NG)

Seeks to provide long-term capital growth by investing primarily in the common stocks of growth companies %

TOPS™ Aggressive Growth ETF Portfolio - Class 2 Shares (U5)

Seeks capital appreciation %

TOPS™ Growth ETF Portfolio - Class 2 Shares (U4)

Seeks capital appreciation %

HIGHEST RISK

MainStay VP DFA/DuPont Capital Emerging Markets Equity Portfolio – Initial Class (NJ)

Seeks long-term capital appreciation %

MainStay VP Van Eck Global Hard Assets Portfolio – Initial Class (NL)

Seeks long-term capital appreciation by investing primarily in hard asset securities. Income is a secondary consideration %

LVIP SSgA Emerging Markets 100 Fund – Standard Class (LU)

Seeks to maximize long-term capital appreciation %

UIF U.S. Real Estate Portfolio – Class I Shares (RE)

Seeks above average current income and long-term capital appreciation by investing primarily in equity securities of companies in the U.S. real estate industry, including real estate investment trusts ("REITs"). %

Exempt Investment Divisions Available to 3(c)(1) and 3(c)(7) Investors

Ryan Labs TIPS Partners, L.P. Private Placement Variable Universal Life Separate Account 18 (18RL)

The overall investment objective of the Partnership is to seek total return while providing protection against inflation.

The General Partner will attempt to accomplish these investment objectives by investing solely in Treasury Inflation Protected Securities ("TIPS") issued by the government of the United States %

Titan Legacy Fund II, L.P. Private Placement Variable Universal Life Separate Account 7 (07T2)

Seeks to attain long-term equity-like returns with minimal correlation to the major market averages %

Exempt Investment Divisions Only Available to 3(c)(7) Investors

Titan Legacy Fund I, L.P. (Series A) Private Placement Variable Universal Life Separate Account 6 (06T1)

The Partnership will seek to attain consistent, superior capital appreciation through the use of a multi-manager investment strategy %

Titan Legacy Fund I, L.P. (Series B) Private Placement Variable Universal Life Separate Account 6 (06T4)

The Partnership will seek to attain consistent, superior capital appreciation through the use of a multi-manager investment strategy. It is anticipated that the investment strategy for the Series B Interests will be more concentrated in less Portfolio Funds with focus on a limited number of investment strategies. Consequently, Series B Interests will be subject to the potential for greater volatility %

Titan Legacy Ultra Fund, L.P. Private Placement Variable Universal Life Separate Account 6 (06T3)

Seeks to attain consistently superior capital appreciation through the use of a multi-manager investment strategy. It is anticipated that the Partnership will utilize a significant amount of leverage as part of its investment strategy. The General Partner believes that leverage will allow the Partnership to take advantage of investing with quality Portfolio Managers identified through the General Partner's diligent investment process %

Total %

Please make sure the Total equals 100%

Exempt Investment Divisions may require a notice prior to the Liquidity Date for initial and subsequent investments and/or transfers among Investment Divisions. In addition, Exempt Investment Divisions may have minimum investment amounts. Please refer to the policy/certificate and the Private Placement Memorandum for each Exempt Investment Division setting forth Notice Periods, Liquidity Dates and minimum investment amount for that Exempt Investment Division. Investment Divisions may limit investments in the future. If an Exempt Investment Division you have elected becomes closed to new investments, we will notify you. Refer to the funds' Private Placement Memorandum for more information about the fund's investment strategies and risks.

Serviced by: New York Life Advanced Markets Network, Attention: Executive Benefits,
11400 Tomahawk Creek Parkway, Suite 200, Leawood, KS 66211, Toll Free (888) 695-4748
Issued by: New York Life Insurance and Annuity Corporation ("NYLIAC"), 51 Madison Avenue, New York, NY 10010
Distributed by: NYLIFE Distributors LLC, Member FINRA/SIPC
NYLIAC and NYLIFE Distributors LLC are wholly owned subsidiaries of New York Life Insurance Company

APPLICANT / OWNER / INSURED INFORMATION

Applicant/Owner's Name _____

Address _____

City _____ State _____ Zip _____

Tax ID # _____

Trustee (if Applicant/Owner is a Trust)

Is Applicant/Owner or Trustee Employed by another FINRA/SIPC firm? Yes ☐ No ☐

If "Yes", please indicate Name and Address of Firm _____

Insured's Name _____ See Census ☐

GENERAL:

- I/We understand that neither the policy/certificate nor the Separate Accounts have been registered with the Securities and Exchange Commission under the Securities Act of 1933 ("1933 Act"), as amended or the Investment Company Act of 1940 ("1940 Act"), as amended. The policy/certificate is being sold in reliance on an exemption for sales of securities in private placements to Accredited Investors as defined in Regulation D under the 1933 Act. The policy/certificate may not be sold or otherwise transferred except pursuant to registration or an exemption from registration under the 1933 Act. All transfers are subject to our prior consent in our discretion.
- I/We understand that in order to make payments into the policy/certificate, make transfers among the Investment Divisions, or take a loan or partial surrender, I/we must be an "Eligible Investor" as that term is defined in the policy/certificate and the Private Placement Memorandum. However, in the event I/we cease to be an "Eligible Investor", I/we may exchange the policy/certificate for a registered policy/certificate or surrender the policy/certificate and receive the Cash Surrender Value.
- I/We understand that the product applied for is a life insurance policy/certificate with an investment component, and that monthly deductions for policy/certificate charges and expenses will be deducted from the policy/certificate's cash value.
- **I/WE UNDERSTAND THAT UNDER THE POLICY/CERTIFICATE APPLIED FOR THE AMOUNT OF THE LIFE INSURANCE BENEFIT AND CASH VALUE MAY INCREASE OR DECREASE DEPENDING UPON INVESTMENT EXPERIENCE OF THE SEPARATE ACCOUNTS.**
- **I/WE UNDERSTAND THAT THE DEATH BENEFIT MAY BE VARIABLE OR FIXED UNDER SPECIFIC CONDITIONS.**
- I/We understand that a life insurance policy/certificate involves a long-term financial commitment and I/we should have other liquid assets available for day-to-day living expenses and other major anticipated obligations.
- I/We understand that I/we may lose the life insurance benefit if the cash surrender value of the policy/certificate is not sufficient to pay all the monthly deduction charges even if I/we continue making planned premium payments.

MONTHLY DEDUCTION CHARGE ALLOCATION:

- I/We understand that the Company will deduct from the initial premium an amount equal to the estimated Monthly Deduction Charges for up to twelve months and will allocate that amount to the Cash Reserve Account that I/We selected on page 1 of this form. Subsequently, if the amount in the Cash Reserve Account falls below the estimated Monthly Deduction Charges for the upcoming three months, I/We understand that the Company will deduct from the Investment Divisions an amount equal to the greater of the anticipated Monthly Deduction Charges for six months or the anticipated Monthly Deduction Charges up to the next policy/certificate anniversary and will allocate that amount to the Cash Reserve Account.
- I/We understand that the Company will deduct all Monthly Deduction Charges from the Cash Reserve Account.

If there is insufficient value in the Cash Reserve Account, the Company will allocate charges first among the Registered Investment Divisions in proportion to the value of your investment in each Registered Investment Division on the date of the charge.

If there is insufficient value in the Registered Investment Divisions, the Company will allocate charges among the Exempt Investment Divisions in proportion to the value of your investment in each Exempt Investment Division on the date of the charge, and deduct that amount as of each Investment Division's next Liquidity Date.

APPLICANT / OWNER SIGNATURE

Those person(s) who sign below agree and affirm that:

- I/We have read and understood the above General provisions,
- I/We have read and understood a current Private Placement Memorandum for the policy/certificate applied for and have received, read and understood the Private Placement Memorandum for the Exempt Investment Divisions and the Prospectus for the Registered Investment Divisions offered under the policies; and
- I/We acknowledge that I/we understand the objectives, risks, sales charges, and all expenses applicable to the policy/certificate. This variable universal life insurance policy/certificate is in accordance with my/our investment objectives and anticipated financial needs. Past performance is not a guarantee of future results.
- I/We acknowledge that each time I/we complete this form, I/we are also re-certifying my/our status as an Eligible Investor as defined in the policy/certificate and Private Placement Memorandum.

Applicant/Owner Signature _____ Date _____

Other Required Signature _____ Date _____

State where application is signed _____

A copy of this completed Supplementary Application is attached to and made a part of the contract.

REGISTERED REPRESENTATIVE INFORMATION:

I have not made any representations that are inconsistent with the Private Placement Memorandum.

1. Rep Code _____

Print Registered Rep Name _____

License ID _____

Registered Rep Signature _____

Date _____

2. Rep Code _____

Print Registered Rep Name _____

License ID _____

Registered Rep Signature _____

Date _____

3. Rep Code _____

Print Registered Rep Name _____

License ID _____

Registered Rep Signature _____

Date _____

REGISTERED REPRESENTATIVE INITIAL SUITABILITY REVIEWED:

Name (Print) _____

Title _____

Signature _____

Date _____

BROKER/DEALER SUITABILITY REVIEWED: APPLICATION ACCEPTED SUBJECT TO UNDERWRITING BY:

Name (Print) _____

Title _____

Signature _____

Date _____

SUMMARY OF EXEMPT INVESTMENT DIVISION TERMS

For full descriptions of the funds, please refer to your Private Placement Memorandum.

Valuation Frequency Monthly

Valuation Date	• Ryan Labs:	The last day the NYSE is open of each calendar month.
	• Titan I (A&B):	The last day the NYSE is open of each calendar month.
	• Titan II:	The last day the NYSE is open of each calendar month.
	• Titan Ultra:	The last day the NYSE is open of each calendar month.

INVESTMENTS

Liquidity Date	• Ryan Labs:	The Valuation Date.
	• Titan I (A&B):	The Valuation Date.
	• Titan II:	The Valuation Date.
	• Titan Ultra:	Two (2) business days prior to the Valuation Date.

Notification Period..... Five (5) business days prior to the Liquidity Date.

DISBURSEMENTS

Liquidity Date	• Ryan Labs:	The first day the NYSE is open after the 15th day of each calendar month.
	• Titan:	The first day the NYSE is open after the 15th day of each calendar quarter.

Notification Period.....	• Ryan Labs:	30 days prior to the last day the NYSE is open of each calendar month.
	• Titan:	60 days prior to the last day the NYSE is open of each calendar quarter.

Minimum Investment \$100,000

Minimum Balance \$100,000

Minimum Disbursement \$100,000

SERFF Tracking Number:	NYLC-128394740	State:	Arkansas
Filing Company:	New York Life Insurance and Annuity Corporation	State Tracking Number:	
Company Tracking Number:	22695-512		
TOI:	L06G Group Life - Variable	Sub-TOI:	L06G.002 Single Life - Flexible Premium
Product Name:	CorpExec PPVUL Premium Allocation 5-12		
Project Name/Number:	CorpExec PPVUL Premium Allocation 5-12/22695-512		

Supporting Document Schedules

		Item Status:	Status Date:
Bypassed - Item:	Flesch Certification		
Bypass Reason:	N/A		
Comments:			
		Item Status:	Status Date:
Bypassed - Item:	Application		
Bypass Reason:	N/A		
Comments:			
		Item Status:	Status Date:
Bypassed - Item:	Life & Annuity - Acturial Memo		
Bypass Reason:	N/A		
Comments:			
		Item Status:	Status Date:
Satisfied - Item:	Statement of Variability		
Comments:			
Attachment:			
Prem Allocation Statement of Variability.pdf			

New York Life Insurance and Annuity Corporation
(04/16/12) Memorandum of Variable Material for:
Form 22695-512

Variable material is bracketed in the supplementary application.

Supplementary Application – Premium Allocation 22695-512

1. Reclassification date for Morningstar Inc. categories. This date updates annually.
2. Registered Investment Divisions and percentages have been bracketed in the event additional funds become available or are eliminated. The investment description will change depending on the type of fund offered. If new Registered Investment Divisions are added, the new funds will not significantly alter the underlying structure of the policy.
3. The edition date will be revised each time funds are reclassified, added, deleted, merged or have a name/description change to ensure the most current form is being used.

Any use of variability shall be administered in a uniform and non-discriminatory manner and shall not result in unfair discrimination.